



The Parks Group, Inc.

Producer Application

1. Name of Agency: _____
2. Address: _____
Phone: _____ FAX: _____

3. Additional Locations/Affiliations: _____

4. This agency is: a Corporation a Partnership an Individual
Year Established: 19__ Federal ID#: _____

Name	DL#	SS#	% of interest	Licensed	Position/Responsibilities
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

6. Please Indicate the total number of your staff: _____
7. During the past five years has the firm acquired/merged with another firm, or has the firm changed names?
8. Do you have any branch offices?
9. Has the Agency or any of its Employees, Partners, Officers, Directors or Shareholders ever had his/her licenses suspended, revoked or relicensing refused?
10. Has any Officer, Partner, Director or Shareholder been adjudged bankrupt or insolvent?
11. Has any Owner, Principal, Officer or Employee been subject to any disciplinary action by the Texas Insurance Department or been indicted, convicted or placed under injunction or restraining order of a court or regulatory agency in respect to violation of any Federal or State law relating to insurance or securities?
12. Please lists membership with national, state or local trade associations, indicating date of membership:

13. Please provide the following information of the firm's E&O insurance and attach complete copy:

Carrier: _____
Policy: _____
Limits/Deductible: _____
Effective Date: _____
Restricting
ENDT's: _____

14. Have any Error & Omission claims been made during the past five(5) years against the Agency, Partners, or Officers?

15. Please provide the following bank reference information:

Bank Name: _____
Account #: _____
Address: _____
Phone: _____
Bank
Officer: _____

16. Please comment on any specific class, type or program business you specialize in:

17. Total Annual Premium Volume Last Year: _____ Commercial %: _____
This Year: _____ Commercial %: _____

18. Estimate Annual E&S Premium Volume: _____ Commercial %: _____

19. Standard Companies you now represent with Estimated Premium Volume:

20. Wholesaler Brokers and MGA's currently contracted with and estimated Premium Volume:

21. Please lists any additional markets:

22. What agency management software are you currently using?

23. Historical Background of Agency:

24. Please attach copies of the following:

- A. List of all employees
- B. Certificate of Insurance for E&O Policy
- C. Licenses for Agency, Principals, Partners, Directors, and Officers. Please include resident and non-resident licenses when required
- D. References

The undersigned agrees that all of the above information is true and correct.

Name

Date

Request for Taxpayer Identification Number and Certification

Give form to the
 requester. Do NOT
 send to the IRS.

Please print or type

Name (If joint names, list first and circle the name of the person or entity whose number you enter in Part I below. See instructions on page 2 if your name has changed.)

Business name (Sole proprietors see instructions on page 2.)

Please check appropriate box: Individual/Sole proprietor Corporation Partnership Other ▶

Address (number, street, and apt. or suite no.)

City, state, and ZIP code

Requester's name and address (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. For individuals, this is your social security number (SSN). For sole proprietors, see the instructions on page 2. For other entities, it is your employer identification number (EIN). If you do not have a number, see **How To Get a TIN** below.

Note: If the account is in more than one name, see the chart on page 2 for guidelines on whose number to enter.

Social security number								

OR

Employer identification number								

List account number(s) here (optional)

Part II For Payees Exempt From Backup Withholding (See Part II instructions on page 2)

Part III Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.

Certification Instructions.—You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because of underreporting interest or dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, the acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. (Also see Part III instructions on page 2.)

Sign Here Signature ▶ Date ▶

Section references are to the Internal Revenue Code.

Purpose of Form.—A person who is required to file an information return with the IRS must get your correct TIN to report income paid to you, real estate transactions, mortgage interest you paid, the acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA. Use Form W-9 to give your correct TIN to the requester (the person requesting your TIN) and, when applicable, (1) to certify the TIN you are giving is correct (or you are waiting for a number to be issued), (2) to certify you are not subject to backup withholding, or (3) to claim exemption from backup withholding if you are an exempt payee. Giving your correct TIN and making the appropriate certifications will prevent certain payments from being subject to backup withholding.

Note: If a requester gives you a form other than a W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

What Is Backup Withholding?—Persons making certain payments to you must withhold and pay to the IRS 31% of such

payments under certain conditions. This is called "backup withholding." Payments that could be subject to backup withholding include interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

If you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return, your payments will not be subject to backup withholding. Payments you receive will be subject to backup withholding if:

- You do not furnish your TIN to the requester, or
- The IRS tells the requester that you furnished an incorrect TIN, or
- The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
- You do not certify to the requester that you are not subject to backup withholding under 3 above (for reportable

interest and dividend accounts opened after 1983 only), or

- You do not certify your TIN. See the Part III instructions for exceptions.

Certain payees and payments are exempt from backup withholding and information reporting. See the Part II instructions and the separate **Instructions for the Requester of Form W-9.**

How To Get a TIN.—If you do not have a TIN, apply for one immediately. To apply, get Form SS-5, Application for a Social Security Number Card (for individuals), from your local office of the Social Security Administration, or Form SS-4, Application for Employer Identification Number (for businesses and all other entities), from your local IRS office.

If you do not have a TIN, write "Applied For" in the space for the TIN in Part I, sign and date the form, and give it to the requester. Generally, you will then have 60 days to get a TIN and give it to the requester. If the requester does not receive your TIN within 60 days, backup withholding, if applicable, will begin and continue until you furnish your TIN.